### HIV AND SERIOUS MENTAL ILLNESS:

#### A CASE FOR EXPANDING ROUTINE TESTING

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## Project Goals

- Familiarize Progress Foundation (PF) staff with current, routine HIV testing recommendations and types of rapid antibody tests
- Encourage PF health system to routinely refer all ADU clients for testing at off-site locations
- Disseminate information about nearby, off-site HIV screening resources
- Assess openness to exploring point of care testing at PF ADU's or other long-term program sites
- Influence overall screening strategy in populations with serious mental illness

#### The Problem: Large Gap in HIV Serostatus Awareness

#### Of the 1.1 million people infected...

- 16 percent unaware of their infection (180,000 people)
- Approximately 1/2 of estimated 50,000 new HIV infections each year transmitted by people who don't know their serostatus
- Transmission rate from HIV-infected individuals unaware of their infection 3.5x higher than from individuals who are aware of their infection.

## HIV and SMI

- Individuals with SMI at increased risk for HIV infection
- 1-23% prevalence, depending on mental health setting, study design, and study samples
- 6-8x higher HIV prevalence than general US population (0.6%)
- Blank et al. (2014): 4.8 % mean HIV prevalence in 3 mental health settings (n=1061) in Baltimore and Philadelphia
- High prevalence of HIV+ patients with MDD (22%) and dysthymia (5%) (Burnam & Beckman, 2002)
- **Triple Diagnosis-** 16-23 % in hospital-based psych units, NYC; 10-28% in substance abuse treatment centers (Dausey & Desai, 2003; McKinnon et al., 2000)

## HIV and SMI: Barriers to testing

- Patients with SMI are screened at lower rates:
  - screening rates for at-risk SMI patients as low as 17-48% (Senn and Carey, 2009)
  - 16 % of outpatient CMHC's offered testing services in NY study (Satriano, 2007)
  - higher testing rates among Pts with MDD, BPD, and schizoaffective disorder
- Mental health providers aren't proactively screening their patients:
  - reluctance to ask about risk behaviors
  - limited time/resources
  - lack of knowledge about blood-borne infections
  - discomfort in handling test results
  - belief SMI patients should get testing done at medical sites
  - 41% of Houston physicians (*n*=137) unaware of HIV testing recommendations in primary care settings

## The Recommendations: CDC

All individuals between the ages of 13 and 64 in all healthcare settings should be screened for HIV at least once in their lifetime in areas with HIV prevalence > 0.1%

And....

- Notify patient that testing will be performed unless he or she declines (opt-out screening)
- No separate written consent; consent for general medical care sufficient
- Pre-test prevention counseling not required.
- Screen high-risk individuals at least annually

### The Recommendations: USPSTF

- The USPSTF recommends that clinicians screen for HIV infection in adolescents and adults aged 15 to 65 years (Grade A)
- Younger adolescents and older adults who are at increased risk should also be screened (Grade A)
- All pregnant women should be tested for HIV, including those who present in labor who are untested and whose HIV status is unknown (Grade A)

## Current HIV screening practice at PF

- Providers (adult and psychiatric mental health NP's) refer ADU residents to off-site HIV testing clinics
- Screening referrals are generally risk-based rather than routine
- HIV testing referral occurrences are tracked in an electronic survey
- No on-site screening
- $\bullet$  Known HIV + patients have care coordinated between PF ADU's and HIV

specialty clinics at Tom Waddell and Ward 86

## Current HIV screening practice at PF

- Limited discussion and documentation of residents' sexual history and behaviors, or past HIV testing history by providers or counselors
- Other high risk behaviors (illicit drug/ETOH use) documented during intake
- No written information for clients about nearby, off-site HIV testing locations

## Methods: Pre/Post-Test Assessment

- 1. Needs assessment of key informants at 3 of 4 PF ADU's
- 2. Online Qualtrics Survey
  - **Purpose:** Assess PF' staff's baseline knowledge of HIV epidemiology, current testing recommendations, and comfort level in referring clients for routine testing
  - Generated from validated surveys used by CDC and cross-sectional studies
  - Adapted to mental health setting
  - Pre-assessment survey: 17 questions (45/65 completed)
  - Post-assessment survey: 21 questions (5 additional qualitative questions) (22/65 completed)
  - Surveys opened 1 week before workshop, and closed 7-10 days afterwards

### Methods: Educational Intervention

**Intervention:** Educational workshop at Progress Foundation headquarters in San Francisco, CA

- Two, 1.5 hr presentations using Power Point slide deck (Nov. 4 and 18)
- Single presenter, with audience fielding questions during workshop
- 65 eligible to attend (56 ADU staff + 9 providers)
- 48 attended (n=22 on Nov. 4; n= 26 on Nov. 18)
- 93 % of attendees were ADU counselors and program directors, 2 NP's and 1 psychiatrist attended; 2 SFSU RN students observed
- Distributed 2-pg testing resource list identifying closest HIV screening sites

## Data Analysis:

- Means from questions containing 5-pt Likert scale items (1=strongly disagree, 2= disagree, 3=neither agree or disagree, 4=agree, 5=strongly agree) calculated by Qualtrics
- Other questions with categorical (multiple choice) answers examined percentage differences between pre and post-assessment surveys
- Differences in both means and percentages likely not significant because of low response rate on post-assessment survey

## Data Analysis: Familiarity with CDC's HIV testing recommendations

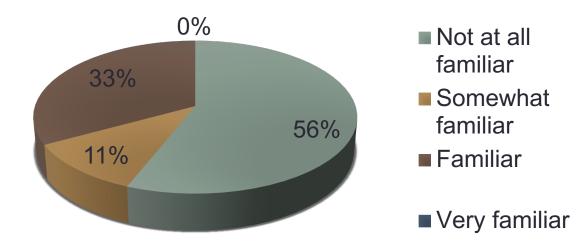
| Survey<br>Question  | Pre-<br>assessment<br>(n=45) | Post-<br>assessment<br>(n=22) | Difference in mean or % | Data Interpretation  |
|---|------------------------------|-------------------------------|-------------------------|--|
| #2 (1)<br>I think routine<br>HIV testing is an<br>important part of<br>regular health care  | 4.23                         | 4.32                          | + 0.09                  | <ul> <li>Small shift toward "agree" on</li> <li>point Likert scale</li> <li>Majority of staff in believe in<br/>merit of routine HIV testing</li> </ul>  |
| #2 (7)<br>Clients may be<br>concerned about<br>the confidentiality<br>of routine HIV<br>testing   | 3.86                         | 3.73                          | - 0.13                  | <ul> <li>Small shift toward "disagree"<br/>on 5- point Likert scale</li> <li>Slightly less staff wariness<br/>around issue of testing and<br/>confidentiality in intimate ADU<br/>setting</li> </ul> |
| #2 (10)<br>We have the<br>resources needed<br>to counsel clients<br>regarding the<br>importance of<br>routine HIV<br>testing              | 2.64                         | 2.68                          | + 0.04                  | <ul> <li>Almost no change from<br/>"disagree" answer on<br/>5-point Likert scale</li> <li>Progress Foundation may not<br/>have the resources to support<br/>routine HIV testing</li> </ul>           |
| #4<br>What is the<br>definition of<br>routine testing?<br><i>A: Testing all</i><br><i>clients ages 13-64</i><br><i>regardless of risk</i> | 28 %                         | 55%                           | + 27 %                  | Increased number of staff who<br>understand definition of routine<br>testing   |

#### Data Analysis: HIV testing among specific populations

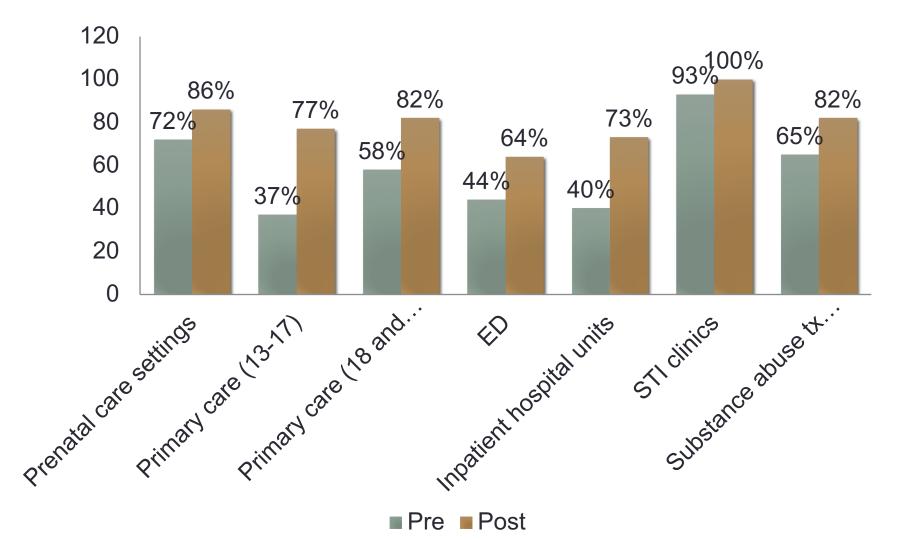
| Survey<br>Question   | Pre-<br>assessment<br>(n=45) | Post-<br>assessment<br>(n=22) | Difference<br>in % | Data Interpretation   |
|--|------------------------------|-------------------------------|--------------------|---|
| #7 (1)<br>All clients in the<br>following<br>population should<br>be routinely tested:<br><b>Teenagers (13-17)</b> | 47 %                         | 82 %                          | + 35 %             | Increased number of staff who<br>feel young adults should be<br>routinely screened                                |
| # 7 (3)<br>All clients in the<br>following<br>population should<br>be routinely tested:<br>Elderly Adults<br>(65+) | 28 %                         | 59 %                          | + 31 %             | Greater misunderstanding of<br>current testing guidelines among<br>older adults (routine testing until<br>age 65) |
| #8<br>What is the<br>estimated<br>prevalence of HIV<br>among people with<br>serious mental<br>illness?<br>A: 5-10% | 40 %                         | 64 %                          | + 24 %             | Increased staff awareness of<br>higher HIV prevalence among<br>clients with SMI compared to<br>general pop.       |
| #9 Should people<br>with serious<br>mental illness be<br>routinely tested for<br>HIV?<br><i>A: All of the time</i> | 21 %                         | 45 %                          | + 24 %             | Larger percentage of respondents<br>who feel clients with SMI should<br>be routinely screened                     |

*Data analysis:* If you are a nurse practitioner, registered nurse, or psychiatrist, please answer the following : Before completing this survey, I was aware/unaware that the CDC issued updated recommendations for routine HIV testing in 2006?

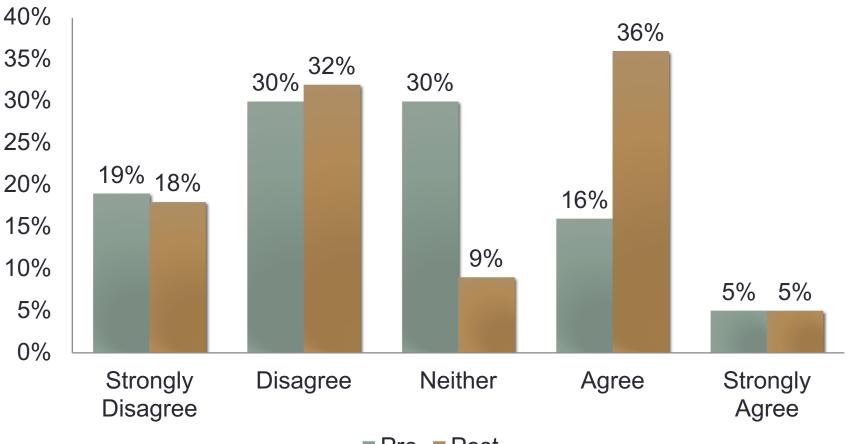




## *Data Analysis:* All clients in the following settings should be routinely tested for HIV



## Data Analysis: Routine HIV testing at ADU's may not be a good idea

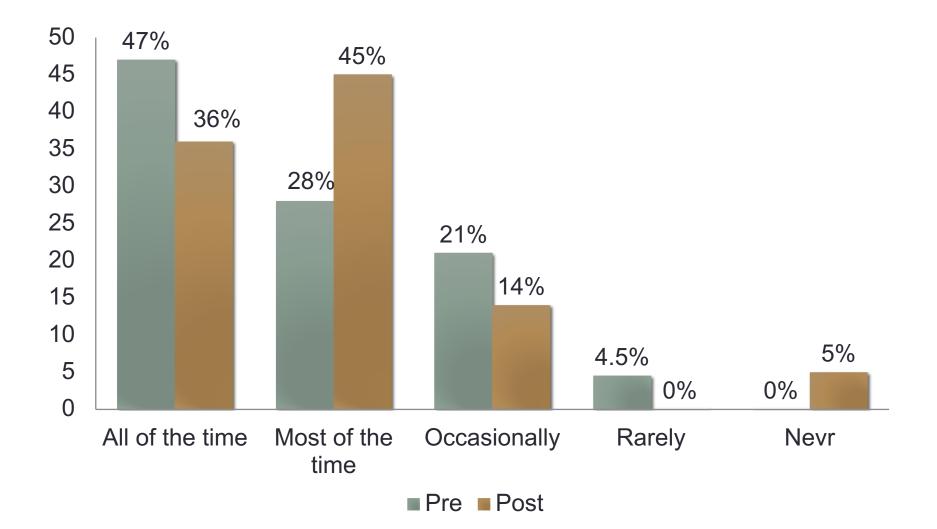


Pre Post

#### Data Analysis: Benefits of HIV screening at PF ADU's

| 1Survey<br>Question   | Pre-<br>assessment<br>(n=45) | Post-<br>assessment<br>(n=22) | Difference<br>in mean / | Data Interpretation   |
|---|------------------------------|-------------------------------|-------------------------|---|
| #15 (1)<br>It is important for<br>everyone to know<br>their HIV status.   | 4.53                         | 4.55                          | + 0.02                  | <ul> <li>Minimal change in mean</li> <li>Staff agreed ADU clients should<br/>know their serostatus</li> </ul>   |
| #15 (2)<br>ADU clients will<br>benefit from<br>knowledge of their<br>HIV status   | 4.30                         | 4.36                          | + 0.06                  | <ul> <li>Minimal change in mean</li> <li>Majority of PF attendees</li> <li>"agreed" that ADU residents will<br/>benefit from knowing their HIV<br/>status</li> </ul>  |
| #15 (3)<br>ADU clients will<br>accept referrals for<br>HIV testing  | 3.28                         | 3.59                          | + 0.31                  | <ul> <li> Small shift toward "agree" on</li> <li>5- point Likert scale; weighted<br/>more toward "neither<br/>agree/disagree"</li> <li>- Staff ambivalence on whether or<br/>not ADU clients will be open to<br/>referrals for HIV screening</li> </ul> |
| #15 (5)<br>ADU referrals for<br>routine HIV<br>testing and<br>counseling may<br>help clients to<br>modify their risk<br>behaviors   | 3.70                         | 4.05                          | + 0.35                  | <ul> <li>Small shift toward "agree" on 5-<br/>point Likert scale</li> <li>More staff felt referrals for HIV<br/>screening will help ADU<br/>residents modify risk behaviors<br/>after post-assessment</li> </ul>  |
| #15 (6)<br>ADU referral for<br>HIV testing and<br>counseling may<br>lower the HIV<br>transmission rate<br>in the local<br>community | 3.67                         | 4.18                          | + 0.51                  | <ul> <li>Moderate shift toward "agree"<br/>on 5- point Likert scale</li> <li>Noticeably more staff thought<br/>HIV screening referrals will help<br/>lower HIV infection rates</li> </ul>   |

## *Data Analysis*: How willing are you to refer, or support a referral for, an ADU resident for HIV testing?



#### Data Analysis: Additional post-assessment questions

• 59 % (n=13) of respondents agreed, and 27 % (n=6) strongly agreed, that their level of understanding about the natural history and epidemiology of HIV increased after the workshop; 9 % (n=2) disagreed

• 41% (n=9) of respondents agreed, 27 % (n=6) neither agreed or disagreed, and 23 % (n=5) disagreed that the workshop changed their viewpoint on HIV screening among people with SMI

## Summary of Major Findings

- Progress Foundation staff believe there is value in clients with SMI knowing their HIV serostatus
- Majority of PF staff (59%) agreed that their level of understanding of HIV screening recommendations and HIV natural history and epidemiology increased after attending workshop
- Less than 1/2 of survey respondents (41%) stated the intervention changed their viewpoint of HIV screening among the mentally ill
- Most survey respondents felt the Progress Foundation doesn't have the resources or trained staff to initiate on-site testing in the near future
- Majority of staff concluded HIV testing, or referrals for testing, for their acute population at ADU's may not be appropriate; Long-term programs (6-9 month stays) at other sites might be more suitable

## Summary of Major Findings

- Most significant change from intervention was belief that HIV screening could lead to behavioral change and reduced HIV transmission by ADU residents
- Majority of staff concluded HIV testing, or referrals for testing, for their acute population at ADU's may not be appropriate; Long-term programs (6-9) mos at other sites might be more suitable

### Limitations

- Low provider attendance at workshop
- Disparate response rate in completion of post-assessment survey
- 14% (n=3) of post-assessment respondents didn't complete the pre-assessment survey
- Email reminders to complete survey had to be channeled through ADU program directors
- Intervention spent less time than anticipated on clinical testing information
  - and adapting point of care screening to mental health setting
- Intervention limited to one type of PF mental health setting (ADU)

#### Future Clinical and Policy Considerations

- Continue to encourage provider referral and staff support of HIV testing for all residents at PF's ADUs, regardless of risk profile
- Explore the feasibility of point of care testing in either the ADUs or the PF transitional houses (with adequate resources) in the future
- Create or utilize existing linkage-to-care resources for clients with (+) rapid test results, paying special attention to mental health needs
- Consider PREP as prevention strategy for higher-risk groups within SMI population

# Evidence-Based Practice: The STIRR model for HIV testing

- **STIRR:** Screening and testing for HIV and Hepatitis C virus, immunization for Hepatitis A and B virus, Risk-reduction counseling and medical treatment referral to infectious disease medical follow-up
- **RCT** to compare STIRR (n=118) with usual care (n=118)
- **Study participants:** Dx of schizophrenia or schizoaffective disorder (69.9%), Bipolar Disorder (17%), and Major Depressive Disorder (13.1%); Homeless (6%); Alcohol or drug use disorder (29%), IDU (24.2%, 92.3% had shared needles )
- **Results:** 6.1% HIV prevalence, 25% Hepatitis C prevalence; STIRR intervention participants were more likely to be tested for HIV and HCV, had increased HCV knowledge, and reduced substance abuse.

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